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|-------------------|-------------------------------------------------------------|----------------------|
| <b>Committee:</b> | <b>Performance Select Committee</b>                         | <b>Agenda Item</b>   |
| <b>Date:</b>      | <b>15 November 2006</b>                                     | <b>6(iii)</b>        |
| <b>Title:</b>     | <b>Internal Audit – Response Maintenance</b>                |                      |
| <b>Author:</b>    | <b>Simon Martin, Audit Partnership Manager,<br/>ext 422</b> | Item for<br>decision |

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## Summary

A recent internal audit of Response Maintenance is attached together with a completed management action plan. This item is included in the agenda in response to Members request that internal audit reports are discussed at the Performance Select Committee.

## Recommendations

That the Response Maintenance audit and management action plan is noted.

## Background Papers

The Internal Audit Plan for 2006/07.

## Impact

|                            |                                                                     |
|----------------------------|---------------------------------------------------------------------|
| Communication/Consultation | The report has previously been circulated amongst relevant officers |
| Community Safety           | None identified                                                     |
| Equalities                 | None identified                                                     |
| Finance                    | None identified                                                     |
| Human Rights               | None identified                                                     |
| Legal implications         | None identified                                                     |
| Ward-specific impacts      | None identified                                                     |
| Workforce/Workplace        | None identified                                                     |

## Situation

An internal audit of Response Maintenance has been carried out as part of the 2006/07 internal audit plan. The report contains a number of recommendations to improve internal control and manage risk. The report was issued to relevant managers in accordance with an agreed protocol. A management action plan was included with the attached internal audit report and has been completed.

**Internal Audit – Response Maintenance**  
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**Risk Analysis**

| Risk                                                    | Likelihood | Impact | Mitigating actions                                                                                                              |
|---------------------------------------------------------|------------|--------|---------------------------------------------------------------------------------------------------------------------------------|
| The issues highlighted in the report are not acted upon | Low        | Medium | Internal audit reports are followed up to ensure compliance.<br>There are escalation procedures in the event of non compliance. |